

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of:

Khan *et al.*

Appl. No. 09/783,034

Filed: February 15, 2001

For: **Die-Down Ball Grid Array  
Package with Die-Attached Heat  
Spreader and Method for Making  
the Same (As Amended)**

Confirmation No. 5713

Art Unit: 2826

Examiner: Andujar, L.

Atty. Docket: 1875.0210000

**Information Disclosure Statement**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not

be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Applicants have checked the appropriate boxes below.

- 1. This Information Disclosure Statement is being filed within three months of the date of filing of a national application other than a continued prosecution application (CPA), OR within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, OR before the mailing date of a first Office Action on the merits OR before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No statement or fee is required.
- 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, or Notice of Allowance, or an action that otherwise closes prosecution in the application.
  - a. I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
  - b. I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated

in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).

- c. Attached is our Check No. 35656 in the amount of \$ 180.00 in payment of the fee under 37 C.F.R. § 1.17(p).
3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Enclosed find our Check No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ in payment of the fee under 37 C.F.R. § 1.17(p); in addition:
- a. I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
- b. I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
4. The document(s) was/were cited in a search report by a foreign patent office in a counterpart foreign application. Submission of an English language version of the search report that indicates the degree of relevance found by the foreign office is provided in satisfaction of the requirement for a concise explanation of relevance. 1138 OG 37, 38.
5. A concise explanation of the relevance of the non-English language document(s) appears below:
6. Copies of the documents were cited by or submitted to the Office in an IDS that complies with 37 C.F.R. § 1.98(a)-(c) in Application No. \_\_\_\_\_, filed \_\_\_\_\_, which is relied upon for an earlier filing date under 35 U.S.C.

§ 120. Thus, copies of these documents are not attached. 37 C.F.R.  
§ 1.98(d).

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Jeffrey S. Weaver  
Attorney for Applicants  
Registration No. 45,608

Date: 6-26-02

1100 New York Avenue, N.W.  
Suite 600  
Washington, D.C. 20005-3934  
(202) 371-2600

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FORM PTO-1449 SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT			ATTY. DOCKET NO. 1875.0210000	JUN 26 2002	APPLICATION NO. 9/783,034
			APPLICANT Khan et al.		
			FILING DATE February 15, 2001		GROUP 2826

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA1	5,397,921	03/1995	Karnezos	257	779	
	AB1	5,474,957	12/1995	Urushima	437	209	
	AC1	5,534,467	07/1996	Rostoker	437	209	
	AD1	09/984,259	---	Zhao et al.	---	---	10/29/2001
	AE1	09/997,272	---	Zhao et al.	---	---	11/30/2001
	AF1	09/742,366	---	Khan et al.	---	—	12/22/2000
	AG1						
	AH1						
	AI1						

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AJ1	EP 0 504 411 B1	06/1998	EPO	H01L	23/04	Yes No
	AK1						Yes No
	AL1						Yes No
	AM1						Yes No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

AN	1	Ahn, S.H. and Kwon, Y.S., "Popcorn Phenomena in a Ball Grid Array Package", IEEE Transactions on Components, Packaging, and Manufacturing Technology Part B: Advanced Packaging, IEEE, August 1995, Vol. 18, No. 3, pp. 491-96.
AO	1	Amkor Electronics, "Amkor BGA Packaging: Taking The World By Storm", Electronic Packaging & Production, Cahners Publishing Company, May 1994, page unknown.
AP	1	Anderson, L. and Trabucco, B., "Solder Attachment Analysis of Plastic BGA Modules", Surface Mount International Conference, Surface Mount International, August 28-September 1, 1994, San Jose, California, pp. 189-194.
AQ	1	Andrews, M., "Trends in Ball Grid Array Technology," Ball Grid Array National Symposium, March 29-30, 1995, Dallas, Texas, 10 pages.
AR	1	Attarwala, A.I. Dr. and Stierman, R., "Failure Mode Analysis of a 540 Pin Plastic Ball Grid Array", Surface Mount International Conference, Surface Mount International, August 28-September 1, 1994, San Jose, California, pp. 252-257.

EXAMINER

DATE CONSIDERED

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449  SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  :ODMA\WHODMA\SKGF DC1;26458;1		ATTY. DOCKET NO 1875.0210000	JUN 26 2002	APPLICATION NO. 109/783,034
		APPLICANT Khan et al.	FILING DATE February 15, 2001	GROUP 2826

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
AA2							
AB2							
AC2							
AD2							
AE2							
AF2							
AG2							
AH2							
AI2							

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
AJ2							Yes No
AK2							Yes No
AL2							Yes No
AM2							Yes No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

	AN	2	Banerji, K., "Development of the Slightly Larger Than IC Carrier (SLICC), Journal of Surface Mount Technology, July 1994, pp. 21-26.
	AO	2	Bauer, C., Ph.D., "Partitioning and Die Selection Strategies for Cost Effective MCM Designs", Journal of Surface Mount Technology, October 1994, pp. 4-9.
	AP	2	Bernier, W.E. et al., "BGA vs. QFP: A Summary of Tradeoffs for Selection of High I/O Components", Surface Mount International Conference Proceedings, Surface Mount International, August 28-September 1, 1994, San Jose, California, pp. 181-185.
	AQ	2	Burgos, J. et al., "Achieving Accurate Thermal Characterization Using a CFD Code-- A Case Study of Plastic Packages" IEEE Transactions on Components, Packaging, and Manufacturing Technology Part A, IEEE, December 1995, Vol. 18, No. 4, pp. 732-738.
	AR	2	Chadima, M., "Interconnecting Structure Manufacturing Technology," Ball Grid Array National Symposium, Dallas, Texas, March 29-30, 1995.

EXAMINER	DATE CONSIDERED
<b>EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.	

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		APPLICANT Khan et al.	FILING DATE February 15, 2001	GROUP 2826



## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA3						
	AB3						
	AC3						
	AD3						
	AE3						
	AF3						
	AG3						
	AH3						
	AI3						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AJ3						Yes No
	AK3						Yes No
	AL3						Yes No
	AM3						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AN	<u>3</u>	Chanchani, R. et al., "Mini BGA: Pad and Pitch Ease Die Test and Handling", Advanced Packaging, IHS Publishing Group, May/June 1995, pp.34, 36-37.
AO	<u>3</u>	Chung, T.C. et al., "Rework of Plastic, Ceramic, and Tape Ball Grid Array Assemblies", Ball Grid Array National Symposium Proceedings, Dallas, Texas, March 29-30, 1995, pp. 1-15.
AP	<u>3</u>	Cole, M.S. and Caulfield, T. "A Review of Available Ball Grid Array (BGA) Packages", Journal of Surface Mount Technology, Surface Mount Technology Association, January 1996, Vol. 9, pp. 4-11.
AQ	<u>3</u>	Cole, M.S. and Caulfield, T., "Ball Grid Array Packaging" Surface Mount International Conference Proceedings, Surface Mount International, August 28-September1, 1994, San Jose, California, pp. 147-153.
AR	<u>3</u>	Dobers, M. and Seyffert, M., "Low Cost MCMs: BGAs Provide a Fine-Pitch Alternative", Advanced Packaging, IHS Publishing Group, September/October 1994, Vol. 3, No. 5, pp. 28, 30 and 32.

EXAMINER	DATE CONSIDERED

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		APPLICANT Khan et al.	JUN 26 2002
		FILING DATE February 15, 2001	GROUP 2826

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA4						
	AB4						
	AC4						
	AD4						
	AE4						
	AF4						
	AG4						
	AH4						
	AI4						

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AJ4						Yes No
	AK4						Yes No
	AL4						Yes No
	AM4						Yes No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

AN	4	Dody, G. and Burnette, T., "BGA Assembly Process and Rework", Journal of Surface Mount Technology, Surface Mount Technology Association, January 1996, Vol. 9, pp. 39-45.
AO	4	Edwards, D. et al., "The Effect of Internal Package Delaminations on the Thermal Performance of PQFP, Thermally Enhanced PQFP, LOC and BGA Packages", 45th Electronic Components & Technology Conference, IEEE, May 21-24, 1995, Las Vegas, NV, pp. 285-292.
AP	4	Ejim, T.L. et al., "Designed Experiment to Determine Attachment Reliability Drivers for PBGA Packages", Journal of Surface Mount Technology, Surface Mount Technology Association, January 1996, Vol. 9, pp. 30-38.
AQ	4	Ewanich, J. et al., "Development of a Tab (TCP) Ball Grid Array Package", Proceedings of the 1995 International Electronics Packaging Conference, San Diego, CA, September 24-27, 1995, pp. 588-594.
AR	4	Fauser, S. et al, "High Pin-Count PBGA Assembly", Circuits Assembly, February 1995, Vol 6, No. 2, pp. 36-38 and 40.

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	AA5						
	AB5						
	AC5						
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	AE5						
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	AH5						
	AI5						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AJ5						Yes No
	AK5						Yes No
	AL5						Yes No
	AM5						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AN	5	Fauser, Suzanne et al., "High Pin Count PBGA Assembly: Solder Defect Failure Modes and Root Cause Analysis", Surface Mount International, Proceedings of The Technical Program, Surface Mount International, August 28-September1, 1994, San Jose, California, pp. 169-174.
AO	5	Ferguson, M. "Ensuring High-Yield BGA Assembly", Circuits Assembly, February 1995, Vol. 6, No. 2, pp. 54, 56 and 58.
AP	5	Freda, M., "Laminate Technology for IC Packaging", Electronic Packaging & Production, Cahners Publishing Company, October 1995, Vol. 35, No. 11, pp. S4-S5.
AQ	5	Freedman, M., "Package Size and Pin-Out Standardization", Ball Grid Array National Symposium, March 29-30, 1995, 7 pages.
AR	5	Freyman, B. and Pennisi, R., "Over-molded Plastic Pad Array Carriers (OMPAC): A Low Cost, High Interconnect Density IC Packaging Solution for Consumer and Industrial Electronics", 41st Electronic Components & Technology Conference, IEEE, May 11-16, 1991, pp. 176-82.

EXAMINER

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			GROUP 2826	

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA6						
	AB6						
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	AH6						
	AI6						

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AJ6						No
	AK6						Yes No
	AL6						Yes No
	AM6						Yes No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

AN	6	Freyman, B. et al., "Surface Mount Process Technology for Ball Grid Array Packaging", Surface Mount International Conference Proceedings, Surface Mount International, August 29-September 2, 1993, San Jose, California, pp. 81-85.
AO	6	Freyman, B. et al., "The Move to Perimeter Plastic BGAs", Surface Mount International Conference Proceedings, San Jose, CA, August 29-31, 1995, pp. 373-382.
AP	6	Freyman, B., "Trends in Plastic BGA Packaging," Ball Grid Array National Symposium, Dallas, Texas, March 29-30, 1995, 45 pages.
AQ	6	Gilleo, K., "Electronic Polymers: Die Attach and Oriented Z-Axis Films", Advanced Packaging, IHS Publishing Group, September/October 1994, Vol. 3, No. 5, pp. 37-38, 40 and 42.
AR	6	Guenin, B. et al., "Analysis of a Thermally Enhanced Ball Grid Array Package", IEEE Transactions on Components, Packaging, and Manufacturing Technology, Part A, IEEE Components, Packaging, and Manufacturing Technology Society, December 1995, Vol. 18, No. 4, pp. 749-757.

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		GROUP 2826	

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA7						
	AB7						
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	AE7						
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	AG7						
	AH7						
	AI7						

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AJ7						Yes No
	AK7						Yes No
	AL7						Yes No
	AM7						Yes No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

AN	Z	Hart, C., "Vias in Pads for Coarse and Fine Pitch Ball Grid Arrays", Surface Mount International Conference Proceedings, Surface Mount International, August 28-September 1, 1994, San Jose, California, pp. 203-207.
AO	Z	Hart, C. "Vias in Pads", Circuits Assembly, February 1995, Vol. 6, No. 2, pp. 42, 44-46 and 50.
AP	Z	Hattas, D., "BGAs Face Production Testing: New Package Offers Promise but Must Clear Technology Hurdles.", Advanced Packaging, IHS Publishing Group, Summer 1993, Vol. 2, No. 3, pp. 44-46.
AQ	Z	Heitmann, R., "A Direct Attach Evolution: TAB, COB and Flip Chip Assembly Challenges", Advanced Packaging, IHS Publishing Group, July/August 1994, Vol. 3, No. 4, pp. 95-99 and 103.
AR	Z	Hodson, T., "Study Examines BGA Use", Electronic Packaging & Production, March 1993, page unknown.

EXAMINER	DATE CONSIDERED

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O I P E  
ATTY. DOCKET NO.  
1875.0210000APPLICATION NO.  
09/783,034APPLICANT  
Khan et al.FILING DATE  
February 15, 2001GROUP  
2826

FORM PTO-1449

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA8						
	AB8						
	AC8						
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	AH8						
	AI8						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AJ8						Yes No
	AK8						Yes No
	AL8						Yes No
	AM8						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AN	8	Holden, H., "The Many Techniques of Small Via Formation for Thin Boards", The Institute for Interconnecting and Packaging Electronic Circuits Ball Grid Array National Symposium, San Diego, CA, January 18-19, 1996, pp. 1-7.
	AO	8	Houghten, J., "New Package Takes On QFPs", Advanced Packaging, IHS Publishing Group, Winter 1993, Vol. 2, No. 1, pp. 38-39.
	AP	8	"How To Give Your BGAs A Better Bottom Line.", Advanced Packaging, IHS Publishing Group, January/February 1995, page unknown.
	AQ	8	Huang, W. and Ricks, J., "Electrical Characterization of PBGA for Communication Applications by Simulation and Measurement", National Electronic Packaging and Production Conference West '95, February 26-March 2, 1995, Anaheim, California, pp. 300-307.
	AR	8	Hundt, M. et al., "Thermal Enhancements of Ball Grid Arrays", National Electronic Packaging and Production Conference West '95, Reed Exhibition Companies, Anaheim, CA, February 25-29, 1996, pp. 702-711.

EXAMINER

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FORM PTO-1449 SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT			ATTY. DOCKET NO. 1875.0210000	APPLICATION NO. 09/783,034
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**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA9						
	AB9						
	AC9						
	AD9						
	AE9						
	AF9						
	AG9						
	AH9						
	AI9						

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AJ9						Yes No
	AK9						Yes No
	AL9						Yes No
	AM9						Yes No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

AN	9	Hutchins, C.L., "Understanding Grid Array Packages", Surface Mount Technology Magazine, IHS Publishing Group, November 1994, Vol. 8, No. 11, pp. 12-13.
AO	9	Hwang, J.S., "Reliability of BGA Solder Interconnections", Surface Mount Technology Magazine, IHS Publishing Group, September 1994, Vol. 8, No. 9, pp. 14-15.
AP	9	Hwang, J.S., "A Hybrid of QFP and BGA Architectures", Surface Mount Technology Magazine, IHS Publishing Group, February 1995, Vol. 9, No. 2, p. 18.
AQ	9	Johnson, R. et al., "A Feasibility Study of Ball Grid Array Packaging", National Electronic Packaging and Production Conference East '93, Boston, Massachusetts, June 14-17, 1993, pp. 413-422.
AR	9	Johnson, R. et al., "Thermal Characterization of 140 and 225 Pin Ball Grid Array Packages", National Electronic Packaging & Production Conference East '93, Boston, Massachusetts, June 14-17, 1993, pp. 423-430.

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**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA10						
	AB10						
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	AH10						
	AI10						

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AJ10						Yes No
	AK10						Yes No
	AL10						Yes No
	AM10						Yes No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

AN	10	Johnston, P., "Land Pattern Interconnectivity Schemes", Ball Grid Array National Symposium, Dallas, Texas, March 29-30, 1995, pages 2-21.
AO	10	Johnston, P. "Printed Circuit Board Design Guidelines for Ball Grid Array Packages", Journal of Surface Mount Technology, Surface Mount Technology Association, January 1996, Vol. 9, pp. 12-18.
AP	10	Kawahara, T. et al., "Ball Grid Array Type Package By Using of New Encapsulation Method", Proceedings of the 1995 International Electronics Packaging Conference, San Diego, CA, September 24-27, 1995, pp. 577-587.
AQ	10	Knickerbocker, J.U. and Cole, M.S., "Ceramic BGA: A Packaging Alternative", Advanced Packaging, IHS Publishing Group, January/February 1995, Vol. 4, No. 1, pp. 20, 22 and 25.
AR	10	Kromann, G., et al., "A Hi-Density C4/CBGA Interconnect Technology for a CMOS Microprocessor", National Electronic Packaging and Production Conference West '95, IEEE, February 26-March 2, 1995, Anaheim, California, pp. 1523-1529.

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**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA11						
	AB11						
	AC11						
	AD11						
	AE11						
	AF11						
	AG11						
	AH11						
	AI11						

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AJ11						Yes No
	AK11						Yes No
	AL11						Yes No
	AM11						Yes No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

AN	11	Kunkle, R., "Discrete Wiring for Array Packages", Ball Grid Array National Symposium, Dallas, Texas, March 29-30, 1995, 9 pages.
AO	11	Lall, B. et al, "Methodology for Thermal Evaluation of Multichip Modules", IEEE Transactions on Components, Packaging, and Manufacturing Technology Part A, IEEE, December 1995, Vol. 18, No. 4, pp. 758-764.
AP	11	Lasance, C. et al., "Thermal Characterization of Electronic Devices with Boundary Condition Independent Compact Models", IEEE Transactions on Components, Packaging, and Manufacturing Technology Part A, IEEE Components, Packaging, and Manufacturing Technology Society, December 1995, Vol. 18, No. 4, pp. 723-731.
AQ	11	Lau, J., "Ball Grid Array Technology", McGraw-Hill Inc., 1995, entire book submitted.
AR	11	Lau, J. et al., "No Clean Mass Reflow of Large Plastic Ball Grid Array Packages", Circuit World, Wela Publications Ltd., Vol. 20, No. 3, March 1994, pp.15-22.

EXAMINER	DATE CONSIDERED
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FORM PTO-1449			ATTY. DOCKET NO. 1875.0210000	APPLICATION NO. 109/783,034
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT			APPLICANT Khan et al.	JUN 26 2002
			FILING DATE February 15, 2003	GROUP 2826

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA12						
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**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AJ12						Yes No
	AK12						Yes No
	AL12						Yes No
	AM12						Yes No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

AN	<u>12</u>	"Literature Review", Special Supplement to Electronic Packaging & Production, February 1995, Cahners Publication, 10 pages.
AO	<u>12</u>	LSI LOGIC Package Selector Guide, Second Edition, LSI Logic Corporation, 1994-1995, entire document submitted.
AP	<u>12</u>	"LTCC MCMs Lead to Ceramic BGAs," Advanced Packaging, IHS Publishing Group, September/October 1994, Vol. 3, No. 5, pp. 14-15.
AQ	<u>12</u>	Mak, Dr. W.C. et al., "Increased SOIC Power Dissipation Capability Through Board Design and Finite Element Modeling", Journal of Surface Mount Technology, Surface Mount International, October 1994, pp. 33-41.
AR	<u>12</u>	Marrs, R.C. and Olachea, G., "BGAs For MCMs: Changing Markets and Product Functionality", Advanced Packaging, IHS Publishing Group, September/October 1994, Vol. 3, No. 5, pp. 48, 50, and 52.

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			APPLICANT Khan et al.	JUN 26 2002 FILING DATE February 15, 2001 TRADEMARK REG
			GROUP 2826	

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA13						
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**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AJ13						Yes No
	AK13						Yes No
	AL13						Yes No
	AM13						Yes No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

AN	<u>13</u>	Matthew, L.C. et al., "Area Array Packaging: KGD in a Chip-Sized Package", Advanced Packaging, IHS Publishing Group, July/August 1994, pp. 91-94.
AO	<u>13</u>	Mawer, A. et al., "Plastic BGA Solder Joint Reliability Considerations", Surface Mount International Conference Proceedings, Surface Mount International, August 28-September 1, 1994, San Jose, California, pp. 239-251.
AP	<u>13</u>	Mazzullo, T. and Schaertl, L., "How IC Packages Affect PCB Design", Surface Mount Technology Magazine, February 1995, Vol. 9, No. 2, pp. 114-116.

AQ	<u>13</u>	Mearig, J., "An Overview of Manufacturing BGA Technology", National Electronic Packaging and Production Conference West '95, February 26-March 2, 1995, Anaheim, California, pp. 295-299.
AR	<u>13</u>	Mertol, A., "Application of the Taguchi Method on the Robust Design of Molded 225 Plastic Ball Grid Array Packages", IEEE Transactions on Components, Packaging, and Manufacturing Technology Part B: Advanced Packaging, IEEE, November 1995, Vol. 18, No. 4, pp. 734-743.

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FORM PTO-1449 <u>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT</u>			ATTY. DOCKET NO. 1875.0210000	JUN 26 2002 RECEIVED U.S. PATENT AND TRADEMARK OFFICE APPLICATION NO. 09/783,034
			APPLICANT Khan et al.	
			FILING DATE February 15, 2001	GROUP 2826

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA14						
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**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AJ14						Yes No
	AK14						Yes No
	AL14						Yes No
	AM14						Yes No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

AN	14	Mescher, P. and Phelan, G., "A Practical Comparison of Surface Mount Assembly for Ball Grid Array Components", Surface Mount International Conference Proceedings, Surface Mount International, August 28-September1, 1994, San Jose, California, pp. 164-168.
AO	14	Mulgaonker, S. et al., "An Assessment of the Thermal Performance of the PBGA Family", Eleventh Annual IEEE Semiconductor Thermal Measurement and Management Symposium, IEEE, San Jose, CA, February 7-9, 1995,pp. 17-27.
AP	14	"New PBGA Pushes Technology to Outer Limits", Advanced Packaging, IHS Publishing Group, January/February 1995, page 11.
AQ	14	Olachea, G. "Managing Heat: A Focus on Power IC Packaging", Electronic Packaging & Production (Special Supplement), Cahners Publishing Company, November 1994, pp. 26-28.
AR	14	"Pad Array Improves Density" Electronic Packaging & Production, Cahners Publishing Company, May 1992, pp. 25-26.

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ATTY. DOCKET NO. **JUN 26 2002**  
1875.0210000 APPLICATION NO.  
09/783,034

APPLICANT  
Khan et al.

FILING DATE  
February 15, 2001

GROUP  
2826

FORM PTO-1449  
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA15						
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**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AJ15						Yes No
	AK15						Yes No
	AL15						Yes No
	AM15						Yes No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

	AN	<u>15</u>	Partridge, J. and Viswanadham, P., "Organic Carrier Requirements for Flip Chip Assemblies", Journal of Surface Mount Technology, Surface Mount Technology Association, July 1994, pp. 15-20.
	AO	<u>15</u>	Ramirez, C. and Fauser, S., "Fatigue Life Comparison of The Perimeter and Full Plastic Ball Grid Array", Surface Mount International Conference Proceedings, Surface Mount International, August 28-September1, 1994, San Jose, California, pp. 258-266.
	AP	<u>15</u>	Rogren, P., "MCM-L Built on Ball Grid Array Formats", National Electronic Packaging and Production Conference West '94, Anaheim, California, pp. 1277-1282.
	AQ	<u>15</u>	Rooks, S., "X-Ray Inspection of Flip Chip Attach Using Digital Tomosynthesis", Surface Mount International, Proceedings of The Technical Program, August 28-September1, 1994, San Jose, California, pp. 195-202.
	AR	<u>15</u>	Rukavina, J., "Attachment Methodologies: Ball Grid Array Technology", Ball Grid Array National Symposium, Dallas, Texas, March 29-30, 1995, 37 pages.

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			APPLICANT Khan et al.	JUN 26 2002
			FILING DATE February 15, 2001	GROUP 2826

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
		AA16					
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		AJ16					Yes No
		AK16					Yes No
		AL16					Yes No
		AM16					Yes No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

AN	<u>16</u>	Sack, T., "Inspection Technology", Ball Grid Array National Symposium, Dallas, Texas, March 29-30, 1995, pages 1-41.
AO	<u>16</u>	Sakaguchi, H., "BGA MountingTechnology," pgs. 1-4, date and source unknown.
AP	<u>16</u>	Schmolze, C. and Fraser, A., "SPICE Modeling Helps Enhance BGA Performance", Electronic Packaging & Production, January 1995, pp. 50-52.
AQ	<u>16</u>	Semiconductor Group Package Outlines Reference Guide, Texas Instruments, 1995, entire document submitted.
AR	<u>16</u>	Shimizu, J., "Plastic Ball Grid Array Coplanarity", Surface Mount International Conference, San Jose, California, August 31-September 2, 1993, pp. 86-91.

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			APPLICANT Khan et al.	JUN 26 2002
			FILING DATE February 15, 2002	GROUP 2826

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA17						
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AJ17						Yes No
	AK17						Yes No
	AL17						Yes No
	AM17						Yes No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

AN	17	Sigliano, R., "Using BGA Packages: An Appealing Technology in a QFP and Fine-Pitch Market", Advanced Packaging, IHS Publishing Group, March/April 1994, pp. 36-39.
AO	17	Sirois, L., "Dispensing for BGA: Automated Liquid Dispensing in a High-Density Environment", Advanced Packaging, IHS Publishing Group, May/June 1995, pp. 38 and 41.
AP	17	Solberg, V., "Interconnection Structure Preparation: Impact of Material Handling and PCB Surface Finish on SMT Assembly Process Yield", Ball Grid Array National Symposium, Dallas Texas, March 29-30, 1995, 10 pages.
AQ	17	"Survival of the Fittest", Advanced Packaging, IHS Publishing Group, March/April 1995, page unknown.
AR	17	Tuck, J., "BGA Technology Branches Out", Circuits Assembly, February 1995, Vol. 6, No. 2, pp. 24, 26, and 28.

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FORM PTO-1449 SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT			ATTY. DOCKET NO. 1875.0210000	APPLICATION NO. 09/783,034
			APPLICANT Khan et al.	JUN 20 2002
			FILING DATE February 15, 2001	GROUP 2826

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA18						
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AJ18						Yes No
	AK18						Yes No
	AL18						Yes No
	AM18						Yes No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

AN	<u>18</u>	"Tutorial and Short Courses", 45th Electronic Components & Technology Conference, May 21-24, 1995, Las Vegas, Nevada, IEEE, 6 pages.
AO	<u>18</u>	Vardaman, E. J. and Crowley, R.T., "Worldwide Trends In Ball Grid Array Developments", National Electronic Packaging and Production Conference West '96, Reed Exhibition Companies, Anaheim, CA, February 25-29, 1996, pp. 699-701.
AP	<u>18</u>	Walshak, D. and Hashemi, H., "Thermal Modeling of a Multichip BGA Package", National Electronic Packaging and Production Conference West '94, Reed Exhibition Companies, Anaheim, California, February 27-March 4, 1994, pp. 1266-1276.
AQ	<u>18</u>	Walshak, D. and Hashemi, H., "BGA Technology: Current and Future Direction for Plastic, Ceramic and Tape BGAs", Surface Mount International Conference Proceedings, Surface Mount International, August 28-September 1, 1994, San Jose, California, pp. 157-163.
AR	<u>18</u>	Xie, H. et al., "Thermal Solutions to Pentium Processors in TCP in Notebooks and Sub-Notebooks", 45th Electronic Components & Technology Conference, IEEE, Las Vegas, NV, May 21-24, 1995, pp. 201-210.

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			APPLICANT Khan et al.		
			FILING DATE February 15, 2001		GROUP 2826

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA19						
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**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AJ19						Yes No
	AK19						Yes No
	AL19						Yes No
	AM19						Yes No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

AN	19	Yip, W.Y., "Package Characterization of a 313 Pin BGA", National Electronic Packaging and Production Conference West '95, Reed Exhibition Companies, February 26-March 2, 1995, Anaheim, California, pp. 1530-1541.
AO	19	Zamborsky, E., "BGAs in the Assembly Process", Circuits Assembly, February 1995, Vol. 6, No. 2, pp. 60, 62-64.
AP	19	Zimerman, M., "High Performance BGA Molded Packages for MCM Application", Surface Mount International Conference Proceedings, Surface Mount International, August 28-September 1, 1994, San Jose, California, pp. 175-180.
AQ	19	Zweig, G., "BGAs: Inspect the Process, Not the Product", Electronic Packaging & Production (Special Supplement), Cahners Publishing Company, August 1994 (Supplement), p. 41.
AR	19	Houghten, J.L., "Plastic Ball-Grid Arrays Continue To Evolve", Electronic Design, February 6, 1995, pp. 141-146.

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			<b>U.S. PATENT DOCUMENTS</b>					
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME		CLASS	SUB-CLASS	FILING DATE
		AA20						
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<b>FOREIGN PATENT DOCUMENTS</b>								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY		CLASS	SUB-CLASS	TRANSLATION
		AJ20						Yes No
		AK20						Yes No
		AL20						Yes No
		AM20						Yes No
<b>OTHER (Including Author, Title, Date, Pertinent Pages, etc.)</b>								
	AN	<u>20</u>	Marrs, R. et al., "Recent Technology Breakthroughs Achieved with the New SuperBGA® Package", 1995 International Electronics Packaging Conference, San Diego, California, September 24-27, 1995, pp. 565-576.					
	AO	<u>20</u>	Hayden, T.F. et al., "Thermal & Electrical Performance and Reliability Results for Cavity-Up Enhanced BGAs", Electronic Components and Technology Conference, IEEE, 1999, pp. 638-644.					
	AP	<u>20</u>	Thompson, T., "Reliability Assessment of a Thin (Flex) BGA Using a Polyimide Tape Substrate", International Electronics Manufacturing Technology Symposium, IEEE, 1999, pp. 207-213.					
	AQ	<u>20</u>						
	AR	<u>20</u>						
EXAMINER						DATE CONSIDERED		
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